



635.46315X00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: P. HABERMEYER, et al.

Serial No.: 10/586,459

Filed: July 18, 2006

For: HUMERAL HEAD PROSTHESIS

International Application No.: PCT/CH2005/000011

International Filing Date: January 13, 2005

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 28, 2006

Sir:

Pursuant to the duty of disclosure, a copy of an English translation of the International Preliminary Report on Patentability issued in the related International Application No. PCT/CH2005/000011 on October 26, 2006, is enclosed.

The documents referred to in the Report were previously cited in the Information Disclosure Statement filed July 18, 2006. The cited document is listed on the attached form equivalent to Form PTO-1449.

This Information Disclosure Statement is filed before a first Office Action on the merits and within three months of the mailing of the cited International Preliminary Report on Patentability.

Please charge any shortage in the fees due in connection with the

filings of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case: 635.46315X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP



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Attachments

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|---|--|--|------------------------------------|--------------------------|
| Form PTO-1449   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |  | ATTY. DKT. NO.<br>635.46315X00     | SERIAL NO.<br>10/586,459 |
| INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT<br><br>(Use several sheets if necessary) |  |  | APPLICANT<br>P. HABERMAYER, et al. |                          |
|   |  |  | FILING DATE<br>July 18, 2006       | GROUP                    |

## U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date |
|------------------|-----------------|------|------|-------|----------|-------------|
| AA               |                 |      |      |       |          |             |
| AB               |                 |      |      |       |          |             |
| AC               |                 |      |      |       |          |             |
| AD               |                 |      |      |       |          |             |
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| AJ               |                 |      |      |       |          |             |
| AK               |                 |      |      |       |          |             |
| AL               |                 |      |      |       |          |             |

## FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation /Abstract |    |
|------------------|-----------------|------|---------|-------|----------|-----------------------|----|
|                  |                 |      |         |       |          | Yes                   | No |
| AM               |                 |      |         |       |          |                       |    |
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| AO               |                 |      |         |       |          |                       |    |
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|          |   |
|----------|---|
| AU       | International Preliminary Report on Patentability; International Application No. PCT/CH2005/000011; International Filing Date: January 13, 2005 |
| AV       |   |
| AW       |   |
| AX       |   |
| AY       |   |
| AZ       |   |
| Examiner | Date Considered   |

